Guidelines Statesman Thorbecke Fund Programme

Article 1 General
1 In 2015, the Academy set up the Statesman Thorbecke Fund Programme to implement research projects that fit within the objectives of the Statesman Thorbecke Fund.
2 The purpose of the Statesman Thorbecke Fund is ‘to promote and preserve our knowledge of the life, work and intellectual legacy of Johan Rudolf Thorbecke (1798-1872), in particular by studying the organic element of Thorbecke’s thinking, and to promote research into issues of human society and life outside human society in the humanities, social sciences and natural sciences’. The Fund's assets consist of a gift and a bequest from the estate of W. Thorbecke (1920-2014), J.R. Thorbecke’s great grandson.
3 Applications can be submitted every two years to the Statesman Thorbecke Fund Programme.
4 A maximum of five applications of a maximum of € 200,000 (two hundred thousand euros) can be granted per application round.

Article 2 Conditions for application
1 The following are eligible as applicants for the Statesman Thorbecke Fund Programme:
   - members of the Academy;
   - members and alumni of The Young Academy;
   - professors at universities in the Netherlands.
2 An applicant may submit a maximum of one project proposal.
3 The applicant is ultimately responsible for the research project.

Article 3 Conditions for projects
A Statesman Thorbecke Fund Programme research project must meet the following conditions:
1 it must relate to research in disciplines in which J.R. Thorbecke distinguished himself as a politician and scholar; Preference will be given to integrative projects, for example interdisciplinary studies or projects combining politics and the law, society and science, or different national perspectives;
2 a project will preferably have a post-doctoral fellow as its project leader;
3 the maximum duration of the project is four years;
4 the project must start within six months of the date of the award of the grant;
5 the project has a maximum budget of € 200,000;
6 the project proposal must include a conference or final conference, expert meeting or symposium hosted by the Academy;
7 activities conducted within the context of the project must be funded solely from the funding that the Academy makes available from the Statesman Thorbecke Fund.

Article 4 Conditions for funding
1 A maximum of € 200,000 can be applied for per project.
2 Items that are eligible for funding are:
   - temporarily releasing a postdoc as a project leader;
   - organising scientific meetings;
   - engaging a student assistant for project activities;
   - inviting a foreign expert;
   - other activities necessary for the implementation of the project.
3 Items that are not eligible for funding are:
   - indirect material costs;
   - scientific equipment;
   - overhead.
Article 5 Condition for submission
1 Applications must be submitted in Dutch or English by e-mail using the application form provided by the Academy and accompanied by the requested attachments. An application is considered to have been submitted when the applicant receives confirmation of receipt from the Academy.
2 The application form with the requested attachments must be sent to wetenschapsfondsen@knaw.nl before the deadline imposed by the Academy. Applications that have not been submitted by this date will not be considered.
3 Members of the Academy Board and members of the Thorbecke Fund assessment committee may not submit (or co-submit) applications.

Article 6 Duties, composition and working methods of the assessment committee
1 The Academy Board will set up an assessment committee for the Statesman Thorbecke Fund Programme, whose task is to assess the applications and to make a nomination for award to the Academy Board.
2 The assessment committee will consist of at least three and no more than five members, including the chair. The members will be appointed by the Academy Board for two assessment rounds. The chair of the assessment committee will be appointed and will be an Academy member.
3 All decisions of the assessment committee will be taken by a majority of votes cast.
4 Assessment committee members must adhere to the Academy Conflicts of Interest Code of Conduct relating to Prizes, Memberships and Subsidies.
5 The Academy will appoint an official secretary to assist the assessment committee.

Article 7 Assessment criteria
1 The assessment of the applications for project funding from the Statesman Thorbecke Fund Programme will take place on the basis of the following assessment criteria:
   - a research question compatible with the Fund's purpose;
   - a detailed plan of activities and specified outcomes related to the research question;
   - a realistic schedule;
   - a comprehensive operating budget with transparent justification.
2 The assessment committee will prepare a report of the assessment, including the grounds for allocation. The assessment report will be submitted to the Academy Board as the assessment committee’s nomination.

Article 8 Allocation
1 The Academy Board will decide on the basis of the assessment committee’s nomination regarding the allocation of project funding from the Statesman Thorbecke Fund Programme.
2 Within three months of the deadline for submitting applications, the applicant will be informed whether or not the application will be granted.
3 In the case funding is awarded, the conditions in the attachment to these guidelines apply.

Article 9 Final provisions
1 These guidelines were adopted by the Academy Board on 23 February 2015 and came into force on 1 May 2015.
2 In any circumstance not provided for by these guidelines, the Academy Board will decide.
Attachment

Award Conditions Statesman Thorbecke Fund Programme

1 The Thorbecke award should be spent in the period specified in the award letter.
2 The recipient (= applicant) is responsible for the budget and budget control.
3 The recipient (= applicant) may submit a request (invoice) to pay out the awarded amount in three installments to an account of the institution of the recipient: 40% at the start of the research project, 40% halfway through the project duration on the basis of a short report, and 20% after the project has been finished, including accountability (see under 5).
4 The first installment cannot be paid out earlier than 1 month before the start of the research project.
5 Within three months after the research project has ended, the recipient should submit a final accountability to the Academy by email. The final accountability consists of a report on the research project and a financial account. The form for the final accountability is available on the website of the Academy.
6 The Academy determines the definite amount of the award on the basis of the final accountability.
7 If the recipient does not submit the final accountability on time, the award may be withdrawn by the Academy and any advance payment may be reclaimed from the institution of the recipient.
8 If there are valid reasons for deviating from the approved budget or spending period, the recipient must submit a change request to the Academy on time.
9 A maximum of two change requests can be submitted during the course of the research project.
10 The award can be reclaimed, up to 5 years after determination, if the Academy has sufficient reasons to believe that the recipient and/or third parties engaged by the recipient have violated scientific standards in the application, implementation and or reporting of the grant activities. These standards are laid down in national and international documents, placed in the List of Basic Documents for Scientific Integrity, which is available on the Academy website.
11 The recipient must state in all publicity communications and publications arising from the research project that the research project was made possible by the Statesman Thorbecke Fund, Royal Netherlands Academy of Arts & Sciences.
12 The Academy announces on the Academy website that the recipient has been awarded a Thorbecke grant. If the recipient does not agree with the publication of these details (name, research institution and title and short summary of grant activities) on the website, the recipient must inform the Academy of this within one week of receiving the award letter.
13 The Academy wishes to be informed on time whenever the recipient goes public with the project, so that the Academy can communicate about it as well.
14 The Conference Office of the Academy can offer organizational support for the conference or final conference, expert meeting or symposium that is part of the project and hosted by the Academy.